Sr. No. _____

Pragya Securities Pvt. Ltd.

227/228/234, KAILAS PLAZA, 2ND FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI-400 075.
PHONE : 6728 3333 • FAX : 6755 1905 • www.psec.co.in • Investor Grievance : lgrd@psplonline.com

PRAGYA

Member : NSE
SEBI Regn. No.:
CM : INB 230896137 Dt.:14-06-2000
F&O : INF 230896137 Dt.:15-09-2000
FX : INE 230896137 Dt.:26-08-2008
Investor Grievance : ignse@nse.co.in
Tel. No.: 2659 8190

Member : BSE
SEBI Regn. No.:
CM : INB 010896133 Dt.:21-10-2005
F&O : INF 010896133 Dt.:19-02-2007
Investor Grievance : is@bseindia.com
Tel. No.: 2272 8097

CEO :
Name : Kishor K. Kansagra
Tel. No.: 2501 4787
e-mail : admin@psplonline.com

Member : MCX-SX
SEBI Regn. No.:
FX : INE260896137 Dt. 29-09-2008
Investor Grievance :
investorcomplaints@mcx-sx.com
Tel. No.: 6731 9000

Member : USE
SEBI Regn. No.:
FX : INE270896132 Dt. 15-06-2010
Investor Grievance :
investorcomplaints@useindia.com
Tel. No .: 4244 4904 / 4244 4932

Compliance Officer :
Name : Bhanudas Rama Paste
Tel. No.: 2501 4040
e-mail : admin@psplonline.com

For any grievance/dispute please contact stock broker at the above address, email id and Phone No. In case not satisfied with the response, please contact the concerned exchange(s) at respective investor grievance, Phone No. given above.

KNOW YOUR CLIENT (KYC) APPLICATION FORM

INDEX

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

Sr. No.	Name of the Document	Brief Significance of the Document	Pg Nos.
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instructions / Check List.	A-1
		B. Document captures the additional information about the constituent relevant to trading account .	A-2/3
2	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	B-1/5
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	B-6/9
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	B-10/11
5	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	B-12/14
6	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	A-6
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1	Writeup on PMLA	(For Information Only) Brief Writeup on the provisions of prevention of money laundering Act 2002 (PMLA) which client needs to know.	B-15/16
2	Check List	Instructions for filling KYC form.	B-17/19
3	Authorisation for Running Account	For the operational convenience, if a client is dealing frequently and wishes to avoid exchange of funds and securities for every exchange/segment separately and on a daily/due date basis, this document may be signed by the client authoring broker to keep the account as running account across all exchange/segment.	A-4/5

Instruction for Signature : **Cf –** Client's full signature **Sf –** Sub-broker's full signature

Know Your Client (KYC) Application Form (For Individuals Only)

CVL PRAGYA

Application No. :

Please fill in ENGLISH and in BLOCK LETTERS with black ink

A. Identity Details (please see guidelines overleaf)

1. **Name of Applicant** (As appearing in supporting identification document).

Name

Father's/Spouse Name

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it

2. **Gender** ☐ Male ☐ Female **B. Marital status** ☐ Single ☐ Married **C. Date of Birth** d d / m m / y y y y

3. **Nationality** ☐ **Indian** ☐ **Other** (Please specify) _____

4. **Status** Please tick (✓) ☐ Resident Individual ☐ Non Resident ☐ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. **PAN** _____ Please enclose a duly attested copy of your PAN Card

Unique Identification Number (UID)/Aadhaar, if any: _____

6. **Proof of Identity submitted for PAN exempt cases** Please Tick (✓)

☐ UID (Aadhaar) ☐ Passport ☐ Voter ID ☐ Driving Licence ☐ Others _____ (Please see guideline 'D' overleaf

B. Address Details (please see guidelines overleaf)

1. **Address for Correspondence**

City / Town / Village | Pin Code

State | Country

2. **Contact Details**

Tel. (Off.) (ISD) (STD) | Tel. (Res.) (ISD) (STD)

Mobile (ISD) (STD) | Fax (ISD) (STD)

E-Mail Id.

3. **Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.**

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ *Latest Bank A/c Statement/Passbook

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others (Please specify) _____

*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** d d / m m / y y y y

4. **Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant**

City / Town / Village | Pin Code

State | Country

5. **Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.**

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ *Latest Bank A/c Statement/Passbook

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others (Please specify) _____

*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** d d / m m / y y y y

C. Other Details (please see guidelines overleaf)

1. **Gross Annual Income Details** (Please tick (✓): ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ > 25 Lacs

OR

Net-worth in ₹. (*Net worth should not be older than 1 year) _____ **as on (date)** d d / m m / y y y y

2. **Occupation** (Please tick (✓) any one and give brief details):

☐ Private Sector Service ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired

☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify) _____

3. **Please tick, if applicable:** ☐ Politically Exposed Person ☐ Related to a Politically Exposed Person

For definition of PEP, please refer guideline overleaf

4. **Any other information:** _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____ Date: _____

SIGNATURE OF APPLICANT

FOR OFFICE USE ONLY

IPV Done ☐ on d d / m m / y y y y

AMC/Intermediary name **OR** code

☐ (Originals Verified) Self Certified Document copies received

☐ (Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain
Staff Name
Designation
Name of the Organization
Signature
Date

Seal/Stamp of the intermediary should contain
Staff Name
Designation
Name of the Organization
Signature
Date

"Please note that the KYC Application Form and overleaf instructions should be printed on the same page (back to back). If printed separately then both the pages should be attached and signed by the applicant."

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinationa Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN
(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

A. BANK ACCOUNT(S) DETAILS

No.	MICR No.	Bank Name, Branch Add. & Tel. No.	A/c Type	Account No.	IFSC Code
1					
2					

Copy of cancelled Cheque Leaf/Pass Book/Bank statement specifying name of the constituent, MICR Code or / and IFSC Code of the bank should be submitted.

B. DEPOSITORY ACCOUNT(S) DETAILS

No.	Depository Participant Name	DP ID No.	DP Account No.	Depository Name
1 x				NSDL / CDSL
2				NSDL / CDSL

☒ Shares bought by you will be transferred to your DP A/c stated by you at Sr. No. 1

C. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Ex. Segment	Cash	Derivatives	Currency Derivatives
BSE	Cf	Cf	Cf
NSE	Cf	Cf	Cf
MCX SX	Cf	Cf	Cf
USE	Cf	Cf	Cf

If, in future, the client wants to trade on any new segment / new exchange, separate authorization / letter should be taken from the client by the stock broker.

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:	

E. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

■ If client is dealing through the sub-broker, provide the following details:

Sub - Brokrer Name				BSE SEBI Reg. No.	
				NSE SEBI Reg. No.	
Regd. Off. Address				____ SEBI Reg. No.	
				____ SEBI Reg. No.	
Signature		Tel. No.		Remisier/AP_____	
		Fax No.		Remisier/AP_____	
	Sf	Website		Remisier/AP_____	

■ Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker		Name of Sub-Broker, if any		Client Code		Exchange	

Details of disputes / dues pending from/to such stock broker / sub- broker:	

F. ADDITIONAL DETAILS

Whether you wish to receive ☐ Physical contract note ☐ Electronic Contract Note (ECN) (please specify)

Specify your Email id, if applicable:	
Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):	☐ Yes ☐ No
Number of years of Investment/Trading Experience:	
Any other information	

G. INTRODUCER DETAILS (Optional)

Name of Introducer		Signature	Sf
Address / Tel.			
Status of the Introducer	Sub Broker / Remisier / Authorized Person / Existing Client / Other please specify_____		

H. NOMINATION DETAILS (FOR INDIVIDUAL ONLY)

☐ I / We wish to nominate ☐ I / We do not wish to nominate

Name of Nominee		Relation with Nominee	
Address		PAN No.	
		Tel. No.	
If Nominee is a minor, details of Guardian		Date of Birth	
Name of Guardian			
Address & Tel No.		Signature of Guardian	

Witnesses (Only applicable in case the account holder has made nomination)

Name		Name	
Address		Address	
Signature		Signature	

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Name				Signature	Cf
Place		Date			

FOR OFFICE USE ONLY

UCC Code allotted to the Client:		
Documents verified with Originals / In person Verification done by		Client Interviewed / Approved by
Name		
Signature		
Designation		
Employee Code		
Date		

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Date _____ Signature of the Authorised Signatory Seal/Stamp of the stock broker

AUTHORISATION FOR RUNNING ACCOUNT

To, Dated : _____

PRAGYA SECURITIES PVT. LTD.
Member: NSE / BSE / MCX-SX / USE
(Capital Market / F&O and Currency Derivatives Segments)
Pragya Commodity Brokers Pvt. Ltd.
TCM: MCX / NCDEX / ICEX / NSEL

Dear Sir,

1. I/We am/are dealing with you in my/our accounts in the exchange/segments as specified in KYC documents. I/We have put my/our signatures hereunder to give my/our consent to treat my/our accounts with you as running account for the purpose of operational convenience.

2. I/We hereby give my/our consent and authorise you to set off and/or keep in abeyance my/our pay-out of funds/securities/premium/MtoM and/or funds/securities/other collaterals placed by me/us for margins, against the pay-in of funds/securities/premium/MtoM and margins in any of the my/our account with you in any exchange/segments. I/we also authorise you to keep and utilise any funds/securities laying credit with you in any of my/our above mentioned accounts towards all the type of margins, including adhoc margin levied by you over and above exchange specified margins on my/our outstanding transactions and/or towards the pay-in and/or margins on our transactions to be done in future with you in any exchange/segment. I/We further authorise you to onward deposit my/our securities with exchanges and/or clearing corporation/house/bank, for the purpose of margin/exposure limits. I/we shall not be entitled to claim from you any price differences, loss of profit and/or any incidental losses and damages which may be caused to me/us due to the retention of such securities and/or funds in my/our account. I/we assume the responsibility to take necessary action for availing corporate benefits on the retained securities where ever required and shall not be entitled to claim and damage or loss of profit on account of lapsed corporate benefits due to non action on my/our part.

3. I/We, also authorise you to keep with you our Premium/MTOM/Securities and pay-out credits and utilize it for the above mentioned purposes until we specifically ask for the withdrawal. I/We also authorize you to issue your inter bank a/c. transfer cheques and debit my/our account where there is a credit balance and credit my/our account where there is a debit balance and/or pass on the JV entries amongst my/our said accounts. Such inter exchange/segment transfer of funds/securities can be affected as and when required and/or at specific time interval as per your accounting practice and either through single cheque/JV for my specific account and/or as a part of the consolidated transfer through control account. I/We hereby declare and agree that any such inter exchange/segment adjustments of funds and securities shall be treated as due discharge of your obligations and I/We shall not have right to raise any claim on you without taking into account any such inter exchange/segment adjustments. I/We shall not have right to demand any payment of funds/delivery of securities until there is a net credit in my/our account across all the exchange/segment.

4. I/we understand and agree that in case of payments towards pay-in obligations/margins are made through cheque / pay order / demand draft, the securities may be released and/or transaction orders may be executed only upon its realization in your bank account and/or at your discretion only.

5. I/we understand and agree that in case of purchase of securities, some times you may be unable to deliver the securities to the purchasing client on pay out day due to non receipt/short receipt of the securities from the exchange or from selling client when there are internal positions. In such cases, the securities shall be delivered to the purchasing client as and when it shall be received from the exchange or from the selling client or the transactions shall be closed out according to the rules and regulations of the concerned stock exchange. In such an event, the purchasing Client shall not be entitled to claim any price difference or loss of profit or any other charges on such transactions/securities.

6. I/we understand and agree that the ledger statements in respect of transactions entered on all the exchange/segment shall be viewed as combined for the purpose of convenience and the payments made by me/us may be appropriated by you towards any exchange segment at your discretion. I/we agree to settle our mutual obligations of funds and securities at least once in quarter. For this purpose I/we authorize you to decide the quarterly settlement cycle, pass the required journal entries in my ledger to adjust inter-exchange/inter-segment debits/credits and such journal entries shall be considered as due discharge of your obligation. I/we further authorize you to automatically send/credit the securities and funds in my designated demat/banking account. I/we agree to verify our statements of funds and securities on your website and/or by obtaining printouts from your office and/or as may be sent by you from time to time. I/we shall bring to your notice, the discrepancy if any within seven days from the end of respective quarter and in absence of any such communication, such statements shall be assumed to be correct and accepted by me/us.

7. I/we understand and agree that without prejudice to your other rights (including the right to refer a matter to the arbitration), you shall be entitled to liquidate/close out all or any of the our positions as well as securities placed with/retained by you as margin for non-payment of margins, MtoM, premiums, other amounts, outstanding debts etc. and adjust the proceeds of such liquidation / close out against my/our liabilities / obligations. Such liquidation or

close out may be effected in any exchange/segment against overdue of any other exchange/segment in which I/we do business with you and all the consequential losses and charges shall be born by me/us. I/we understand and agree that all the securities and/or properties placed with you or retained by you towards the margins are subject to a lien in your favour and while enforcing your lien, you may decide at your discretion the liquidation of any particular securities/properties and its modalities.

8. I/we understand and agree that the exchange/segment wise intra-day/end of day outstanding positions and volume of business shall be decided mutually from time to time considering the availability of required margins to the credit of my/our account and subject to the limits specified by the Exchange/Clearing house/SEBI. However, notwithstanding any such agreement/arrangement in this regard, you have absolute discretion to reduce the outstanding positions and/or restrict the dealings on any exchange/segment/securities without citing any reasons.

9. I/we understand and acknowledge that the placing of order with you electronically or otherwise does not guarantee its execution at specific price. I/we understand that the orders are generally be routed to the exchange's computer system under the normal course of business. However, you shall not be liable for any resultant actual or notional profit/loss arising from difference in order and execution prices due to the delay in receiving the order instructions by the computer operator and/or due to the partial or non-execution of orders on account of connectivity or system failure and software bugs at exchange or brokers office, suspension of trading, non availability of required quantity or for any other reasons whatsoever. I/we also understand and acknowledge that in case of market price orders, the actual execution price and quantity may be different than the order price and quantity.

10. I/we understand and acknowledge that any of your officials/employees/sub-brokers/affiliates are not authorised to advise the client and/or provide the information and recommendations about suitability and/or profitability of any investments and/or to carry out operations im my account at their discretion. I/we assumes full responsibility of our investment decisions. Neither you nor any of your directors, officials, employees, agent, sub-broker, affiliates shall have any responsibility/liability with respect to resultant profit/loss from any of my/our investment decision or transaction.

11. I/we hereby declare that I/we am/are not acting as unregistered intermediary on behalf of any other person and not associated with any other Stock Broker in the capacity of sub-broker/authorised person/authorised user/ director/ partner/employee and shall not get my/our self/selves so associated without prior written intimation to you.

12. I/we undertake and agree that I/we shall not, whether acting alone or in concert with others, directly or indirectly, hold and control the number of shares and/or take positions in any security beyond the limits fixed from time to time by the said Exchange / SEBI or under any other act, rules and regulations.

13. I/we hereby undertake to make, from time to time, the necessary disclosures regarding my/our direct/indirect holding in securities, business associations, other business dealings and all such other disclosures of information which are required to fulfill the statutory obligations under any rules, regulations and bye-laws of the Exchange/SEBI or under any other act, rules and regulations.

14. I/we are aware that you may tape-record the telephonic and on the desk conversation between the me/us and your dealers//sub-brokers/employees and I/we specifically permit you to use such records as an evidence to resolve disputes in connection with the transactions.

15. I/we understand and agree that any notice served on me/us either by hand delivery thereof or by Ordinary post/Registered AD/ U.P.C./ Courier/ Fax/ Telegram at the addresses registered with you or on the designated email Ids, would be deemed to have been duly served. Notwithstanding anything stated above, communication relating to orders, margin maintenance calls and other similar matters in the ordinary course of dealings between us may be communicated orally or telephonically. It is specifically agreed by me/us that the margin calls on outstanding positions under the abnormal market conditions given by you through telephone and/or mobile SMS shall be deemed as margin call notice duly served on me to enable you to liquidate /close out the outstanding positions.

16. I/we understand that this authorization can be revoked by the me/us anytime by giving a written intimation to this effect at the registered/corporate office of the broker. However, the revocation shall be effective prospectively from the date of its receipt and shall not effect the rights of the broker to take necessary actions under this authorisation with respect to the outstanding obligations of already executed transaction till the date of receipt of the revocation intimation.

Cf

Client's Signature

Account Code:_____

TARIFF SHEET

	CASH SEGMENT				
	Trading			Delivery	
	Minimum Rs.	Percent		Minimum Rs.	Percent
		1st side	2nd Side		
General Rates	0.10	0.10%	0.00%	0.10	1.00%
Special Rates					

	EQUITY FUTURES				
	Same Day			Any Day	
	Minimum Rs.	Percent		Minimum Rs.	Percent 2nd side
		1st side	2nd Side		
General Rates	0.10	0.10%	0.00%	0.10	1.00%
Special Rates					

	EQUITY OPTIONS				
	Same Day			Any Day	
	Minimum Rs.	Percent		Minimum Rs.	Percent 2nd side
		1st side	2nd Side		
General Rates	Rs. 100 per lot	1.00% of Premium	0.00	Rs. 100 per lot	1.00% of Premium
Special Rates					

	CURRENCY FUTURES				
	Same Day			Any Day	
	Minimum Rs.	Percent		Minimum Rs.	Percent 2nd side
		1st side	2nd Side		
General Rates	0.05	0.05%	0.00%	0.05	0.05%
Special Rates					

	CURRENCY OPTIONS				
	Same Day			Any Day	
	Minimum Rs.	Percent		Minimum Rs.	Percent 2nd side
		1st side	2nd Side		
General Rates	Rs. 50 per lot	1.00% of Premium	0.00%	Rs. 50 per lot	1.00% of Premium
Special Rates					

- The above rates are inclusive of all charges except Securities Transaction Tax and Service Tax which will be charged extra at the rate prevailing from time to time.
- The General rates as mentioned here shall be applied unless the special rates as may be agreed by the sub-broker/Authorised Person/Introducer and client and the same are mentioned here.

Cf
Client's Signature

Sf
Signature of Sub-broker / AP / Introducer

Bf
Signature of Broker