Pragya Securities Pvt. Ltd. PRAGYA

MANDATORY

KYC - A

Please fill this form in **English** and in **Block Letters.**

For Non-Individual

IDENTITY DETAILS

Name of the Applicant			
Date of Incorporation		Place of Incorporation	
Date of Commencement of Business			
PAN		Registration No.	
Status	☐ Private Limited Co. ☐ Public Ltd. Co. ☐ Partnership ☐ Trust ☐ HUF ☐ Others _____		

Affix your Photo here and sign across photograph

ADDRESS DETAILS

Corps. Add.				Regd. Add.	If different from Corps. Add.		
City		Pin		City		Pin	
State		Country		State		Country	
Resi No. (s)		Off. No. (s)		Resi No. (s)		Off. No. (s)	
Fax No. (s)				Fax No. (s)			
Mobile No.				E-mail ID			
Specify the proof of add. submitted for Corps. Add.				Specify the proof of add. submitted for Regd. Add.			

OTHER DETAILS

Gross Annual Income details (please specify) : Income Range per annum

☐ Upto Rs. 1,00,000/-	☐ Rs. 1,00,001/- to Rs. 5,00,000/-	☐ Rs. 5,00.000/- to Rs. 10,00,000/-
☐ Rs. 10,00.000/- to Rs. 25,00,000/-	☐ Rs. 25,00.000/- to Rs. 1 Crore	☐ Above Rs. 1 Crore

Net worth as on Date : _____ Rs. _____ (Net worth should not be older than 1 year)

☐ **PROMOTERS** ☐ **PARTNERS** ☐ **KARTA** ☐ **TRUSTEES** ☐ **WHOLE TIME DIRECTORS**

Affix your Photo here and sign across photograph	1	Name	2	Affix your Photo here and sign across photograph
		PAN No.		
		Address		
		Tel No.		
		DIN/UID		

Please tick, if applicable, for any of your authorized signatories / Promoters / Partners / Karta / Trustees / whole time directors	☐ Politically Exposed Person (PEP)
	☐ Related to a Politically Exposed Person (PEP)
Any other information	

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Name				Signature with Stamp	Cf
Place		Date			

FOR OFFICE USE ONLY

☐ (Originals verified) True copies of documents received	Signature of the Authorised Signatory		Seal / Stamp of the intermediary
	Name		
	Signature		
☐ (Self-Attested) Self Certified Document copies received	Designation		
	Date		

BANK ACCOUNT(S) DETAILS

No.	MICR No.	Bank Name, Branch Add. & Tel. No.	A/c Type	Account No.	IFSC Code
1					
2					

Please provide Cancelled Cheque leaf for MICR & IFSC Code

DEPOSITORY ACCOUNT(S) DETAILS

Sr. No.	Depository Participant Name	DP ID No.	DP Account No.	Depository Name
1 ☒				NSDL / CDSL
2				NSDL / CDSL

☒ Shares bought by you will be transferred to your DP A/c stated by you at Sr. No. 1

TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Ex. Segment	Cash	Derivatives	Currency Derivatives
BSE	Cf	Cf	Cf
NSE	Cf	Cf	Cf
MCX SX	Cf	Cf	Cf
USE	Cf	Cf	Cf

If, in future, the client wants to trade on any new segment / new exchange, separate authorization / letter should be taken from the client by the stock broker.

PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:	

DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

Name				BSE SEBI Reg. No.	
Regd. Off. Address				NSE SEBI Reg. No.	
				____ SEBI Reg. No.	
Signature		Tel. No.		Remisier/AP_____	
		Fax No.		Remisier/AP_____	
	Sf	Website		Remisier/AP_____	

Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker		Name of Sub-Broker, if any		Client Code		Exchange	

Details of disputes/dues pending from/to such stock broker/sub- broker:	

ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify):	☐ Yes ☐ No
Specify your Email id, if applicable:	
Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):	☐ Yes ☐ No
Number of years of Investment/Trading Experience:	

PERSONS AUTHORIZED TO DEAL IN SECURITIES ON BEHALF OF COMPANY / FIRM / OTHERS

Name 1				photograph of person Aphorized
Designation				
Residential Address				
PAN		Signature	Cf	
UID				
Name 2				photograph of person Aphorized
Designation				
Residential Address				
PAN		Signature	Cf	
UID				
Any other information				

INTRODUCER DETAILS (Optional)

Name		Signature	Sf
Add. / Tel.			
Status of the Introducer	Sub Broker / Remisier / Authorized Person / Existing Client / Other please specify_____		

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Name				Signature with Stamp	Cf
Place		Date			

FOR OFFICE USE ONLY

UCC Code allotted to the Client:

	Documents verified with Originals / In person Verification done by	Client Interviewed / Approved by
Name		
Signature		
Designation		
Employee Code		
Date		

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Date _____ Signature of the Authorised Signatory Seal/Stamp of the stock broker